



PATENT
SP02-004

0300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Havens et al.

Serial No.: 10/044,595

Filed: January 9, 2002

For: OPHTHALMIC FILTER MATERIALS

Group Art Unit: TBA

Examiner: TBA

X3

Assistant Commissioner for Patents
Washington, DC 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97 - 1.98**

Sir:

The Examiner's attention is hereby directed to the following reference listed on the attached Form PTO-1449. One copy of the reference is enclosed.

I hereby certify that no item of information contained in the Information Disclosure Statement was cited in a communication from a Foreign Patent Office in a counterpart, foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, neither was it known to any individual having a duty to disclose more than 3 months prior to the filing of this statement.

Date: April 26, 2002

Date of Deposit: May 2, 2002

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated above with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, DC 20231

Signature [Signature]

Respectfully submitted,

[Signature]
Siwen Chen

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FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.
SP02-004SERIAL NO.
10/044,595LIST OF PATENTS AND
PUBLICATIONS
FOR APPLICANTS INFORMATION
DISCLOSURE STATEMENT

APPLICANT Havens et al.

FILING DATE 1-9-02

GROUP TO
BE ASSIGNED TBA

MAY 07 2002

REFERENCE DESIGNATION

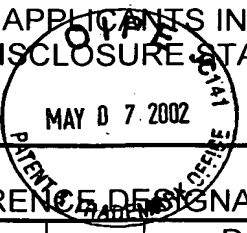
U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub- Class	Filing Date if Approp.
	3,208,860	9/28/65	Armistead et al.			
	3,562,172	2/9/71	Ono et al.			
	3,567,605	3/2/71	Becker			
	4,190,451	2/26/80	Hares et al.			
	4,215,010	7/29/80	Hovey et al.			
	4,284,686	8/18/81	Wedding			
	4,342,668	8/3/82	Hovey et al.			
	4,634,767	1/6/87	Hoelscher et al.			
	4,637,698	1/20/87	Kwak et al.			
	4,699,473	10/13/87	Chu			
	4,720,547	1/19/88	Kwak et al.			
	4,756,973	7/12/88	Sakagami et al.			
	4,784,474	11/15/88	Yamamoto et al.			
	4,785,097	11/15/88	Kwak			
	4,792,224	12/20/88	Kwiatkowski et al.			
	4,816,584	3/28/89	Kwak et al.			
	4,831,142	5/16/89	Kwak			
	4,851,471	7/25/89	Maltman et al.			
	4,851,530	7/25/89	Rickwood			
	4,889,413	12/26/89	Ormsby et al.			
	4,909,963	3/20/90	Kwak et al.			
	4,913,544	4/3/90	Rickwood et al.			
	4,931,219	6/5/90	Kwiatkowski et al.			
	4,931,221	6/5/90	Heller			
	4,936,995	6/26/90	Kwiatkowski			
	4,980,089	12/25/90	Heller			
	4,986,934	1/22/91	Kwiatkowski et al.			
	4,994,208	2/19/91	McBain et al.			
	5,066,818	11/19/91	Gemert et al.			
	5,106,998	4/21/92	Tanaka et al.			
	5,114,621	5/19/92	Guglielmetti et al.			
	5,130,058	7/14/92	Tanaka et al.			
	5,130,353	7/14/92	Fischer et al.			
	5,139,707	8/18/92	Guglielmetti et al.			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement-PTO-1449 (Modified)

FORM PTO-1449 (MODIFIED)	ATTORNEY DOCKET NO. SP02-004	SERIAL NO. 10/044,595
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	APPLICANT Havens et al.	
	FILING DATE 1-9-02	GROUP TO BE ASSIGNED TBA



REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
		5,166,345	11/24/92	Akashi et al.			
		5,171,636	12/15/92	Castaldi et al.			
		5,180,254	1/19/93	Matiere			
		5,180,524	1/19/93	Casilli et al.			
		5,185,390	2/9/93	Fischer et al.			
		5,200,116	4/6/93	Heller			
		5,233,038	8/3/93	Guglielmetti et al.			
		5,238,981	8/24/93	Knowles			
		5,244,602	9/14/03	Van Gemert			
		5,246,630	9/21/93	Selvig			
		5,381,193	1/10/95	Wedding			
		5,973,039	10/26/99	Florent et al.			
		5,973,865	10/26/99	Havens et al.			
		6,034,193	3/7/00	Henry et al.			
		6,197,711	3/6/01	Havens et al.			
		6,262,155	7/17/01	Florent et al.			

FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
	AL	EPA 0 171909	7/3/85	EPC				
	AM	EPA 0 508219	12/17/84	EPC				
	AN	EPA 0 232295	7/21/86	EPC				
	AO	EPA 0 562915	3/17/93	EPC				
	AP							
	AQ							

OTHER ART (Including Author Title Date Pertinent Pages etc.)

	AR		<i>Photochromism</i> , G. Brown, Ed., Techniques of Chemistry, Wiley Interscience, Vol. III, 1971, Chapter III, pp. 45-294, R.C. Bertelson.
	AS		<i>Photochromism, Molecules and Systems</i> , Edited by H. Durr, H. Bouas-Laurent, Elsevier, 1990, Chapter 8, "Spiropyrans," pp. 314-455, R. Guglielmetti, all herein incorporated by reference.
	AT		

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.